



UNITED STATES DEPARTMENT OF COMMERCE
 Patent and Trademark Office
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/436,984 11/09/99 YAMAZAKI



S 0756-2063

0242/1213

NOT ASSIGNED

ERIC J ROBINSON
 SIXBEY FRIEDMAN LEEMAN & FERGUSON PC
 8180 GREENSBORO DRIVE
 SUITE 800
 MCLEAN VA 22102

DATE MAILED: 2825

12/13/99

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 2,440.00.

☐ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 516.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 1,180.00 for 56 total claims over 20.
 \$ 512.00 for 11 independent claims over 3.
 \$ 56.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
 Initial Patent Examination Division (703) 308-1202

02/16/2000 TLM:11 00000013 09435904

01 FC:104
 02 FC:102
 03 FC:103
 04 FC:105

05 FC:101

250.00 DP
 312.00 DP
 1000.00 DP
 130.00 DP

760.00 DP



- 1 -

Docket: 0756-2063

sector #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT Application of:)
SHUNPEI YAMAZAKI et al.) Art Unit: 2825
Serial No. 09/436,984)
Filed: 11/09/99)
For: SEMICONDUCTOR DEVICE AND)
MANUFACTURING METHOD)
THEREOF)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on 2/14/00.

Sharon A. Tabor

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted dated December 13, 1999, submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration and Power of Attorney
2. Assignment and Assignment Cover Sheet
3. Copy of Notice to File Missing Parts of Application - Filing Date Granted
4. Information Disclosure Statement

5. A check in the amount of \$2,510.00 is attached to cover the \$760 - filing fee; \$260 - multiple dependent claims; \$1,320 - additional claims; \$40 - assignment recordation fee; and \$130 - surcharge.

All formal requirements now having been met, it is requested that the Official Filing Receipt be issued.

The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 (except the Issue Fee) which may be required now or hereafter, or credit any overpayment, to Deposit Account No. 19-2380(0756-2063). A duplicate of this sheet is attached.

Respectfully submitted,



Eric J. Robinson
Reg. No. 38,285

NIXON PEABODY LLP
8180 Greensboro Drive, Suite 800
McLean, Virginia 22102
(703) 790-9110